

MARICOPA COUNTY HUMAN SERVICES DEPARTMENT

Maricopa County Urban County CAPER

FY 13/14

Executive Summary

Introduction

This Consolidated Annual Performance and Evaluation Report (“CAPER”) covering the period from July 1, 2013 to June 30, 2014, represents the fourth year of the five-year Maricopa Urban County 2010-2014 Consolidated Plan (“Consolidated Plan”). This CAPER reflects the goals and objectives stated in the Maricopa Urban County Five-Year Consolidated Plan (“Consolidated Plan”) for Fiscal Years 2010 to 2014 and the progress being made in completing housing and non-housing activities identified in the FY2013-2014 Third-Year Annual Action Plan (“Action Plan”).

Maricopa County is a unit of local government and an entitlement grantee of U.S. Department of Housing and Urban Development (“HUD”) for funds that include Community Development Block Grant (“CDBG”), HOME Investment Partnerships Program (“HOME”), and the Emergency Solutions Grant (“ESG”) funds. Maricopa County is an entitlement designated “Urban County” for CDBG and ESG funds. Maricopa County formed an Urban County to serve the needs of the unincorporated areas of the County and the non-entitlement cities. Maricopa County Human Service Department (“MCHSD”) administers HOME, CDBG and ESG funding.

The Urban County communities have elected to participate in the Maricopa Urban County and their accomplishments in the programs are reported within this CAPER. While the Maricopa HOME Consortium CAPER is a separate report that accounts for accomplishments and HOME expenditures related to housing activities of its members, this Maricopa Urban County CAPER accounts for both housing and non-housing activities undertaken by the Urban County.

The Urban County is also a member of the Maricopa County HOME Consortium (the “Consortium”). The Consortium is a cooperative entity that receives funds on behalf of all its members. The Urban County is part of the HOME Consortium and received a portion of HOME funds. The Urban County communities include- unincorporated areas within Maricopa County and incorporated municipalities of the towns of Buckeye, Gila Bend, Guadalupe, Queen Creek, Wickenburg, Youngtown; and the cities of El Mirage, Goodyear, Litchfield Park¹, and Tolleson. And the entitlement communities that make up the HOME Consortium include- the Town of Gilbert and the cities of Avondale, Chandler, Glendale, Peoria, Scottsdale, Surprise, and Tempe. The Maricopa County Human Services Department- Community Development is the lead agency that receives and distributes HOME funds on behalf of the members as the Lead Agency for the HOME Consortium and is the responsible and administering agency for the Urban County.

¹ Litchfield Park is a non-participating member.

Available for Public Comment September 8, 2014 (15 day comment period)

Submitted on September 30, 2014 to:

U.S. Department of Housing and Urban Development

Community Planning & Development Representative

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Phoenix, Arizona 85004

Submitted by:

Maricopa County Human Services Department

Community Development Division

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CR-05 - Goals and Outcomes

Progress the jurisdiction has made in carrying out its strategic plan and its action plan.

91.520(a)

This is a summary of the Maricopa Urban County's performance in meeting its housing and community development goals, strategies and objectives during FY 13/14. Focused on furthering the five-year strategic objectives; the Maricopa Urban County had successful results in pursuing these objectives.

Consortium accomplishments, by activity, that benefit low and moderate-income persons are as follows:

- ✓ Housing rehabilitation: 1 unit
- ✓ Homebuyer assistance: 169 persons
- ✓ Acquisition and development for owner occupied housing: 1 unit
- ✓ Emergency home repair assistance: 1 unit
- ✓ Provision of suitable living environment: 1,822 persons
- ✓ Emergency Shelter Facility: 443 persons

Major Initiatives/Accomplishments

Maricopa Urban County

- ✓ Emergency Repair Program is underway. One home completed in 2013-14. Emergency repair replaced substandard electrical connection from meter (at property line) to home. APS would have disconnected electrical service to the home due to safety concerns if the electrical line had not been replaced. The remaining funds are expected to be expended in 2014-15.

Gila Bend

- ✓ Gila Bend Papago Waterline project is complete and online with an estimated total of 105 persons directly benefiting and 600-700 indirectly. The project consisted of installation of 2,600 linear feet of new waterline and 4 fire hydrants. Gila Bend Production Well Project is approximately 90% complete. Once completed approximately 2081 persons will benefit from this project.

Goodyear

- ✓ Distributed \$88,000 of general funds to area non-profits serving the community.

Guadalupe

- ✓ HOME funds helped a low income family of 3 build a Self Help home. Three youth member residents received construction training helping homeowners build a Self Help home. Senior funding was used for operations, meals and home delivery meals.

Buckeye

- ✓ In providing access to children, students, elderly and physically challenged residents with ADA compatible sidewalks where sidewalks did not exist previously, the sidewalks provide children with a safe route to school and residents an alternative if lacking auto transportation. The waterline project improved water pressure, fire suppression and sustainability for the Downtown area of Buckeye, Arizona. The project will improve services; provide adequate fire protection for citizens and their property.

Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)

Goal	Category	Source / Amount Allocated	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
SO-1	Affordable Housing	HOME: \$261.16	Homeowner housing rehabilitated	Households Assisted	100	34	34.0	1	1	100.0
SO-2	Affordable Housing	CDBG: \$45,485	Direct financial assistance to homebuyers	Persons Assisted	155	183	100.0	82	169	100.0
SO-3	Affordable Housing	HOME: \$121,206	Acquisition of land/new households added for homeowners	Households Assisted	58	12	21.0	1	1	100.0
SO-4	Affordable Housing	CDBG: \$210,000	Homeowner housing rehabilitated – emergency repair.	Households Assisted	53	1	2.0	14	1	7.0
SO-9	Suitable Living	CDBG: \$3,009,630	Provision of suitable living environment	Persons Assisted	12,000	1,824	15.0	2,081	1,822	88.0
	Homeless	ESG: \$313,232	Homeless Prevention	Persons Assisted	NA	NA	NA	NA	443	100.0

Table 1 - Accomplishments – Program Year & Strategic Plan to Date

Local Strategic Objective	HOME		CDBG		ADDI		Other Sources		Current Year Total (FY13/14 Annual Action Plan)		Prior Years (FY10/11, FY11/12, FY12/13)		Five Year Goal
Member	Dollars	Units	Dollars	Units	Dollars	Units	Dollars	Units	Dollars	Units	Dollars	Units	
SO-1													
Single Family Housing Rehabilitation													
Buckeye													
Goodyear													
Gila Bend													
Guadalupe	\$261.00	1							\$261.00	1			
MCC													
Wickenburg													
Phoenix NHS													
Whittman													
Buckeye													
Subtotal	\$261.00	1							\$261.00	1		33	100
SO-4													
Single Family Housing Emergency Repair													
Buckeye													
Goodyear													
Gila Bend													
Guadalupe													
MCC			\$210,000	1					\$210,000	1			
Wickenburg													
Phoenix NHS													
Whittman													
Subtotal			\$210,000	1					\$210,000	1		0	53
SO-2													
Homebuyer Assistance													
Buckeye													
Goodyear													
Gila Bend													
Guadalupe													
MCC													

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Wickenburg													
Phoenix NHS			\$45,485	169					\$45,485	169			
Whittman													
Subtotal			\$45,485	169					\$45,485	169		14	155
Local Strategic Objective	HOME		CDBG		ADDI		Other Sources		Current Year Total		Prior Years		Five Year Goal
Member	Dollars	Units	Dollars	Units	Dollars	Units	Dollars	Units	Dollars	Units	Dollars	Units	
SO-3													
Acquisition of land and construction of new housing for owner occupants													
Buckeye													
Goodyear													
Gila Bend													
Guadalupe	\$121,206	1							\$121,206	1			
MCC													
Wickenburg													
Phoenix NHS													
Whittman													
Subtotal	\$121,206	1							\$121,206	1		11	58
SO-9													
Provision of a Suitable Living Environment													
Buckeye			\$1,495,224	1,717					\$1,495,224	1,717			
Goodyear													
Gila Bend			\$979,343	105					\$979,343	105			
Guadalupe													
MCC													
Wickenburg			\$292,471	0					\$292,471	0			
Phoenix NHS													
Whittman			\$262,592	0					\$262,592	0			
Subtotal			\$3,029,630	1,717					\$3,029,630	1,717		2	12,000
	HOME	units	CDBG	units	ADDI	units	Other Sources	units	GRAND TOTAL	UNITS			
TOTAL	\$121,467	2	\$3,285,115	1,887					3,406,582	1,889		60	

CR-10 - Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted).

91.520(a)

	CDBG/HOME		ESG
Race:	Renter	Owner	
White	41	1	96
Black or African American	11	0	113
Asian	2	0	8
American Indian or American Native	4	1	46
Native Hawaiian or Other Pacific Islander	0	0	2
Other Multi-Racial	3	0	2
Ethnicity:			
Hispanic	108	0	176
Not Hispanic	61	2	267
Total	169	2	443

Table 2 – Table of assistance to racial and ethnic populations by source of funds

CR-15 - Resources and Investments 91.520(a)

Identify the resources made available

During the course of the FY 13/14, the Maricopa Urban County received a cumulative total of **\$3,986,796** of entitlement resources (HOME, CDBG, and ESG). As the lead agency distributing funds, Maricopa Urban County received \$2,589,851 in FY 13/14 CDBG funds for distribution to Urban County sub-recipients and a gross allocation of \$745,680 in HOME funds. Less its 15% CHDO set-aside and 10% administrative assessment, the County's net HOME allocation totaled \$559,260. The County also had available an FY 13/14 ESG allocation of \$164,046 and \$149,186 in prior year re-programmed ESG funds to total \$313,232. The County also carried forward \$280,675 of prior year CDBG funds.

This CAPER only reports on the Maricopa Urban County and sub-recipient housing and non-housing community development accomplishments that encompassed utilization of CDBG, HOME, ESG funds and other federal funds, as applicable. The Maricopa Urban County does distribute a portion of its HOME funds for housing activities benefitting urban cities and towns not in the HOME Consortium. For the purpose of this report, the Town of Guadalupe is reporting expenditures of prior year HOME funds received through the Maricopa County Human Services Department.

Available Resources/Expenditures

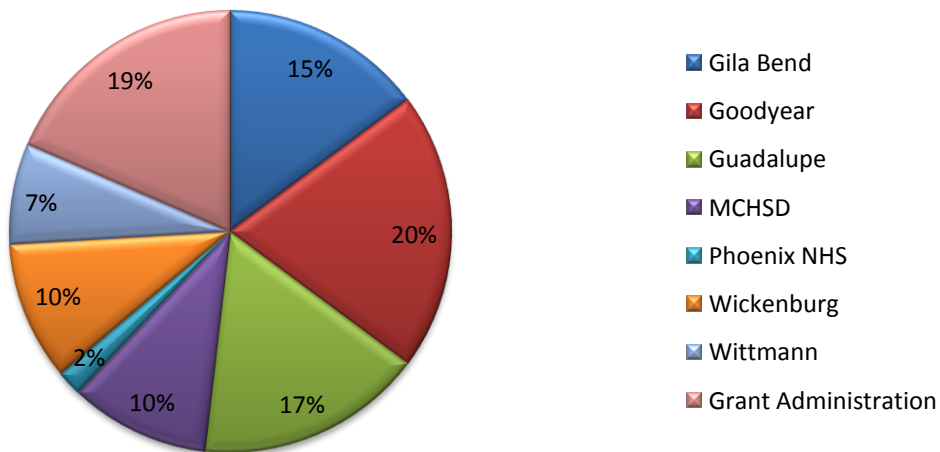
Source of Funds	Source	Expected Amount Available	Actual Amount Expended Program Year 13/14
CDBG	Federal	\$ 2,870,526	\$ 2,277,484
HOME	Federal	\$ 745,680	\$ 121,467
ESG	Federal	\$ 313,232	\$98,428

Table 3 – Resources Made Available

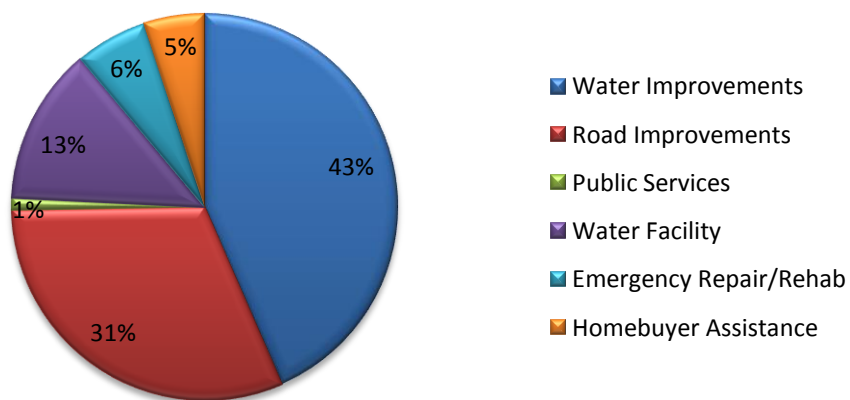
Maricopa Urban County Allocations

The Maricopa Urban County service area includes Unincorporated Maricopa County, Buckeye, El Mirage, Goodyear, Gila Bend, Guadalupe, Queen Creek, Tolleson, Wickenburg, and Youngtown. Maricopa Urban County utilizes 100% of its federal allocations to benefit low and moderate-income target areas in the above mentioned cities and towns.

FY 13/14 Urban County % of CDBG Funds Distribution



CDBG/HOME Expenditures by Activity



FY 13/14 CDBG Projects/Expenditures

FY Allocation	Project #/Rpt Cat	IDIS	Project	Allocated in FY 2013-14	Allocated in Prior Years	FY13/14 Expenditures
2013-14	DG1300	3070	Administration	\$ 517,970		\$ 202,953
2013-14	DG1301	3071	Gila Bend - Waterline & Fire Hydrant Replacement	\$ 429,343		\$ 143,613
2013-14	DG1302	3072	Goodyear - Waterline and Storm Sewer Improvements	\$ 589,670		\$ -
2013-14	DG1303	3073	Guadalupe - Pavement Replacement Phase III	\$ 471,653		\$ 359,370
2013-14	DG1304	3074	MCHSD - Weatherization Emergency Repair	\$ 210,000		\$ 14,444
2013-14	DG1305	3075	NHS Phoenix - Housing Counseling & Education	\$ 66,277		\$ -
2013-14	DG1306	3076	Respite Shelter - Mens Respite Shelter	\$ 69,400		\$ -
2013-14	DG1307	3077	Wickenburg - Barnett Waterline	\$ 292,471		\$ 211,493
2013-14	DG1308	3078	Wittmann - Volunteer Fire District	\$ 223,742		\$ 14,681
2011-12	DG1101	2945	Buckeye Sidewalk Improvements (4th Ave.)		\$ 217,742	\$ 191,915
2012-13	DG1201	2991	Buckeye Valencia Sidewalk Improvements		\$ 255,560	\$ 201,135
2012-13	DG1205	2995	Medical Respite Center/Circle of the City		\$ 199,344	\$ 122,484
2012-13	DG1207	3009	Buckeye Waterline Rehab		\$ 707,103	\$ 670,602
2012-13	DG1208	3010	Gila Bend RO Facility/Production Well		\$ 550,000	\$ 302,343
2012-13	DG1209	3011	Guadalupe Residential Demolition		\$ 60,000	\$ -
2012-13	DG1211	3013	NHS Phoenix-Urban County Counseling		\$ 58,982	\$ -

Geographic Distribution and Location of Investments

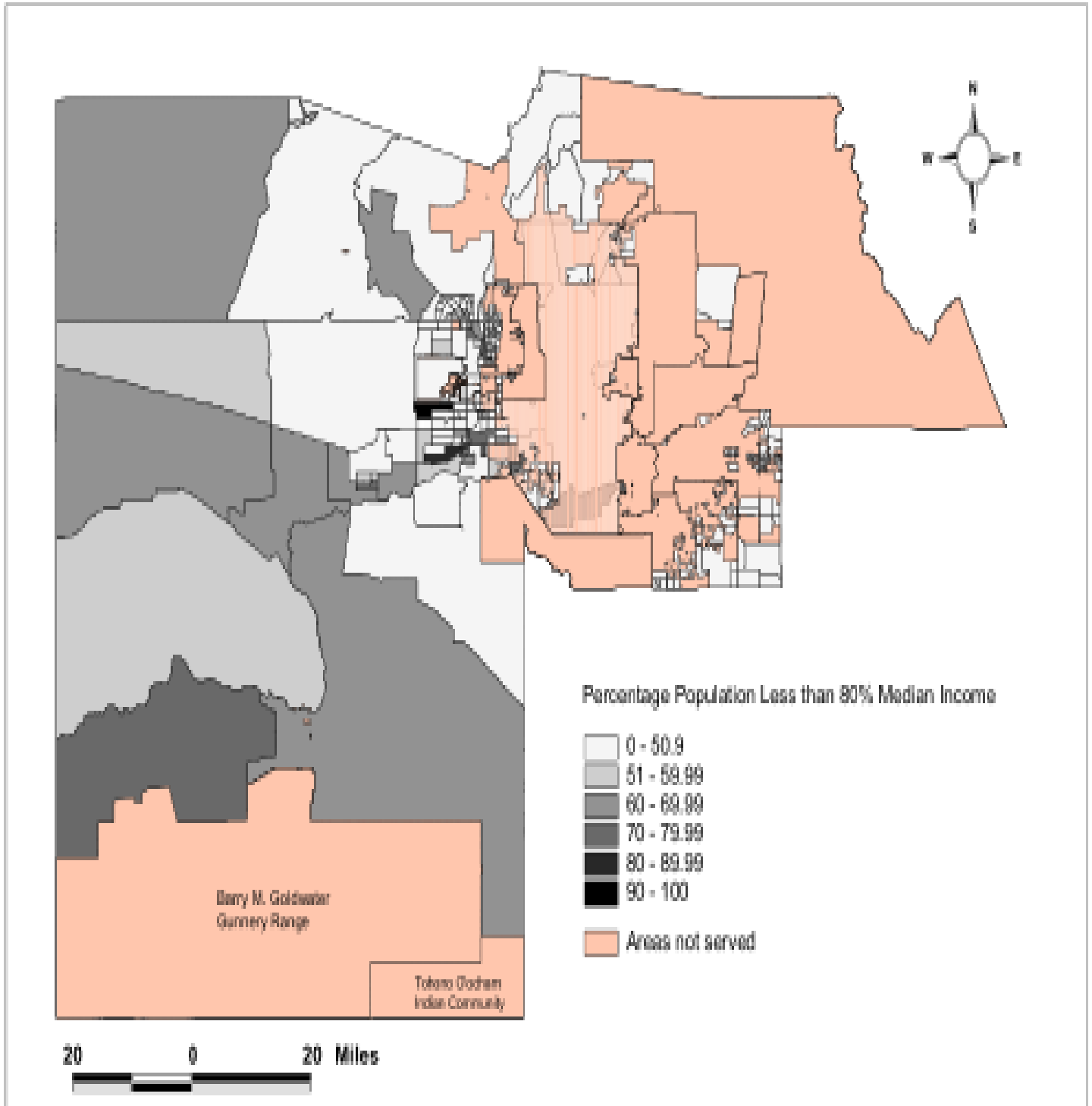
Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description
Unincorporated Maricopa County; Buckeye, El Mirage, Goodyear, Gila Bend; Guadalupe; Queen Creek; Tolleson; Wickenburg; Youngtown.	100.00	100.00	Implement housing and community development activities county-wide to support the national objectives of providing decent affordable housing, a suitable living environment, and economic opportunity.

Table 4 – Identify the geographic distribution and location of investments

The table and map below identify the geographic distribution of CDBG, HOME and ESG funds and locations of investments.

Gila Bend Waterline & Fire Hydrant Replacement	Census Tract 68.
Goodyear Waterline and Storm Sewer Improvements	SBA
Guadalupe Pavement Replacement Phase III	Census Tract 67.9
MCHSD Weatherization Emergency Repair Program	County Wide
NHS Housing Counseling & Education	LMC
Urban County Respite Center	LMC
ESG Program	County Wide

URBAN MARICOPA COUNTY POPULATION



Leveraging

Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.

Maricopa County staff routinely emphasizes to applicants the need to leverage federal funds with local funds to stretch the benefit of the federal dollars. These efforts have been successful and projects funded under CDBG, HOME, and ESG have substantially exceeded accomplishments that could not have been achieved from federal funds alone. As described in the FY2012-2013 Annual Action Plan, there were a number of federal, state, and local resources expected to be made available to address housing needs. The table specifies the amount of federal, state, and local resources that were used to support housing activities for low and moderate income households.

The following funding sources have leveraged the HUD Community Planning and Development (CPD) funds:

Match Requirements

HOME Match

MCHSD process includes the requirement that in order to receive HOME funds, the applicant community or agency must identify the source of match at the time of the funding application and that the match will be applied at the time of a request for reimbursement.

Match funds generally come from forgone taxes, fees charged, construction materials donated labor and cash. Each community is required to provide monthly match log to the project coordinator.

ESG Match

The ESG contracts are matched by applicant donations, in-kind contributions, other federal, state and local funds, as well as private and foundation donations.

CDBG Match

Although there is no official match requirement in the CDBG program, in most cases, other funds, such as private funds received through donors or fund raising activities, commercial loans, tax funds, and construction materials donated labor, are used to supplement and defray project costs. Total amounts of the formula grants for CDBG, HOME, and ESG, with the amount expended and the amount leveraged for each grant.

Program Income

FY 13/14 Program Income Report

	Program Income				
	Balance on hand at beginning of reporting period	Amount received during reporting period	Total amount expended during reporting period	Amount expended for TBRA	Balance on hand at end of reporting period
HOME	\$167,159	\$194,676	\$192,188	\$0.00	\$2,487
CDBG	\$0.00	\$363,470	\$363,470	\$0.00	\$0.00

Table 5 – Program Income

MBE/WBE Report

	Total	Minority Business Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Contracts						
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0
Sub-Contracts						
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0
	Total	Women Business Enterprises	Male			
Contracts						
Number	0	0	0			
Dollar Amount	0	0	0			
Sub-Contracts						
Number	0	0	0			
Dollar Amount	0	0	0			

Relocation and Real Property Acquisition – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition

Parcels Acquired	0	0				
Businesses Displaced	0	0				
Nonprofit Organizations Displaced	0	0				
Households Temporarily Relocated, not Displaced	0	0				
Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Cost	0	0	0	0	0	0

Table 6 – Relocation and Real Property Acquisition

Typically, Maricopa Urban County or its sub-recipients do not utilize CDBG or ESG funds for activities that involve displacement. However, Maricopa Urban County does utilize HOME funding for emergency repair and Guadalupe is reporting the use of prior year HOME funds. For activities that involve acquisition, rehabilitation, or demolition of occupied real property, Maricopa Urban County and Guadalupe ensured to take actions to minimize displacement including:

- ✓ Evaluating each project to ensure owners and tenants do not incur financial burden; and
- ✓ Assisted in identifying temporary relocation facilities.

The Urban County and its sub-recipients maintain compliance with the Uniform Relocation Act, HUD regulations, and any Residential Anti-Displacement and Relocation Assistance Plans in place.

CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

	One-Year Goal	Actual
Number of homeless households to be provided affordable housing units	Continuum of Care	Continuum of Care
Number of non-homeless households to be provided affordable housing units	98	172
Number of special-needs households to be provided affordable housing units	0	0
Total	98	172

Table 7 – Number of Households

	One-Year Goal	Actual
Number of households supported through rental assistance	0	0
Number of households supported through the production of new units	1	1
Number of households supported through the rehab of existing units	15	2
Total	16	3

Table 8 – Number of Households Supported

Discuss the difference between goals and outcomes and problems encountered in meeting these goals.

Maricopa Urban County and its members continue to work on meeting goals identified in the 2010-2014 Consolidated Plan. Goals for FY 13/14 were either exceeded or very close to being met. The Maricopa Urban County, as lead agency, has not drawn all funds through the end of the reporting year. However, all funds have been committed or expended as appropriate.

The greatest challenge in meeting five-year goals has proven to be lack of funding. Federal funds have continued to decrease since the beginning of the Consolidated Plan term in 2010, making it hard to meet proposed goals. Further, the current housing market and economic environment serve as barriers to meeting goals, and unemployment rates have increased adding to the number of families and individuals needing access to services. Many times the capacity to fund and implement existing or additional programs is limited.

Below demonstrates some specific challenges faced by Maricopa Urban County and its participants:

- ✓ Foreclosure rates and abundance of neighborhood stabilization needs.
- ✓ Increased cost per-unit for repair expenses.
- ✓ The need to expand programs to include additional activities.
- ✓ Lack of funds to address all needs.

Discuss how these outcomes will impact future annual action plans.

Maricopa Urban County does not anticipate significant changes to future Annual Action Plans, they will continue to utilize its CDBG, HOME, and ESG funds to the fullest extent to assist in meeting goals. Leveraging efforts with public and private funding agencies will be made to supplement federal funds and increase the resources available to address community needs.

Maricopa Urban County will take into consideration the increased cost per-unit for its rehabilitation programs adjust proposed accomplishments accordingly for future Consolidated Plans and Action Plans.

Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.

Category of Persons Served	Number of CDBG/HOME Persons Served	Number of ESG Persons Served
Extremely Low-income, Low-income, Moderate-income	172	443
Total	172	443

Table 9 – Number of Persons Served

Narrative Information

Maricopa Urban County and sub-recipient activities are available to extremely low, low, and moderate income persons who meet eligibility requirements for each activity. The Maricopa Urban County and its participants served 169 persons and 3 households through affordable housing activities such as direct financial assistance to homebuyers, housing rehabilitation/emergency repair, and production of new units for homeowners. Additionally, Maricopa Urban County utilized ESG funds to serve 443 persons through the New Life Center's emergency shelter facility.

CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)

Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The Maricopa Urban County participates in the Maricopa Association of Governments (MAG) Continuum of Care Regional Committee on Homelessness. This MAG Committee serves as the applicant for HUD homeless assistance grants.

The AZ Department of Housing as well as the jurisdictions within the CoC take an active role in the education and training of housing providers to ensure awareness of fair housing laws. Housing providers are encouraged to and sometimes required to attend fair housing law training and market their housing opportunities according to the law. Fair housing training and workshops are provided through the state of Arizona. At least two fair housing workshops are provided in Maricopa County each year. CoC funded providers are made aware of these training opportunities.

Maricopa Urban County understands that preventing and ending homelessness using targeted resources alone will not work exclusively. Therefore, intends to leverage mainstream housing, health, education and human service programs. Maricopa County Human Services division will begin work on a shared understanding to find solutions to end homeless with a new created initiative. The purpose of the "Dedicating Opportunities to End Homelessness Initiative and Local Leadership Team for Maricopa County" is to identify opportunities that can be committed and targeted to ending homelessness such as housing choice vouchers, public housing, CDBG and HOME funds and private multifamily housing units in addition to other federally-funded service and existing homelessness program resources. These resources include the McKinney-Vento Homeless Assistance Act programs, other special federal, state and local and private funds targeted to homeless individuals and families with children, especially the chronically homeless, the HUD formula programs, and any publicly-owned land or property.

In FY 13-14, Maricopa Urban County met its planned strategic objectives for reaching out to homeless persons and assessing their needs through the following:

- Funding the faith-based organization, New Life Center, to carry out ESG homeless prevention activities.
- Continuation of involvement with the Continuum of Care Regional Committee of MAG for the McKinney-Vento grants.
- Continuing support for the Human Services Campus. The project provides emergency shelter beds for seriously mentally ill and homeless men and women on the Human Services Campus. Phase I of the construction project was completed in October 2005; and Central Arizona Shelter Services, the dental clinic, the Maricopa County Healthcare for the Homeless Program, the St.

Vincent de Paul Facility and the Day Resource Center all became operational November 2005. The Nova Safe Haven facility opened in 2008.

- Fully implementing HMIS among homeless providers.
- Continuation of homeless prevention services funded by the United Way and other sources through Community Action Programs.
- Continued advocacy for preserving and increasing the number of Housing Choice Vouchers to local housing agencies and providers.
- An increased emphasis on performance and accountability through evaluation.
- Use of Emergency Solutions Grants to support emergency shelters and homeless prevention.
- Local general fund contributions to regional homeless shelters.
- Local allocations of funding from citizen contributions to utility bill donation programs for services and housing for homeless and victims of domestic violence.

Valley of the Sun United Way, A CoC member agency, coordinates a homeless outreach team collaborative group. The group is made up of all the outreach team members in the CoC. The outreach teams are actively coordinated and collaborating with each other. During meetings they plan for ways to work better together and ensure that outreach is coordinated throughout the region.

The CoC includes a Street Outreach Collaborative (SOC), facilitated by the Valley of the Sun United Way. The SOC includes all the homeless outreach teams in the region. The purpose of the SOC is to improve overall outreach to people on the streets. This group has developed a tool for all outreach teams, the areas they cover and services they provide. This tool is helpful to ensure that all parts of the region are covered in a collaborative way. Outreach teams will also be trained on conducting the SPDAT pre-assessment for those on the street unable to get to coordinated assessment centers. Outreach teams are encouraged to follow a navigation approach and develop housing focused goals.

A one day summit was held in which outreach teams received training on Trauma Informed Care as a best practice to incorporate. Outreach teams are actively involved with our local 100,000 Homes Campaign called Project H3 and Project H3 Vets. The relationships outreach teams develop with homeless persons on the street carries over into their housing placement. Teams continue their interaction with clients once they are housed by doing welfare checks, connecting them with resources and services in the community, and ensure that their transition to housing is a success. This continued interaction contributes to housing retention.

The Continuum of Care also has several planning committees including the Coordinated Assessment Working Group. This group is charged with completing action steps and implementation of the regional Coordinated Assessment System. This group developed the flow of the system, the overall plan for coordinated assessment and makes recommendations to the CoC Regional Committee on items that need to be approved by the CoC.

In August 2013, the CoC adopted the Service Priority Decision Assessment Tool (SPDAT) and Family SPDAT as the official assessment tool for the regional coordinated assessment system. During the pre-assessment or VISPDAT, the homeless individual or family will receive a score based on their acuity.

Individuals and families will be referred to the most appropriate housing intervention based on their pre-assessment score. The pre-assessment was recently added in HMIS. Coordinated assessment staff will complete the pre-assessment in HMIS and make the referral to the most appropriate intervention based on the score generated in the system.

Addressing the emergency shelter and transitional housing needs of homeless persons

The HMIS bed coverage rate for each housing type within the CoC is 86%+. The table below demonstrates the average length of time project participants remain in housing.

Type of Housing	Average Length of Time in Housing
Emergency Shelter	46
Transitional Housing	153
Safe Haven	103
Permanent Supportive Housing	303
Rapid Re-Housing	112

Overall, there was a 9% decrease 4,736 in 2012 to 4,308 in 2013 of sheltered homeless. The exact reason for the decrease is unknown but it is believed there are several factors attributing to the decrease in people counted during the PIT count. First, there was a 9% increase in the number of people in PSH on the same night as the PIT count. The CoC has increased the number of PSH for chronically homeless and is actively engaged in identifying and quickly moving people from streets and shelters to PSH. Second, one large faith based shelter closed beds and was not sheltering clients the night of the count. Thirdly, it is believed that the CoC is making strides in the community towards ending homelessness by reducing the number of people who are homeless in the community and quickly finding housing solutions for those who become homeless.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

The goal of the CoC is to quickly and effectively end homelessness for individuals and families. We plan to do this through the implementation of a regional Coordinated Assessment System. We began implementation of our Coordinated Assessment System and know that this will reduce returns to homelessness because individuals and families will be placed in housing interventions that are most

appropriate and effective for them. We are moving from a first come first served system to one that will assess for the level of need and make program referrals based on the specific needs of the individual or family. Once placed in the most appropriate housing possible, the individual or family is less likely to become homeless again.

The CoC is working with the HMIS Lead Agency to develop a tracking method through HMIS to collect this data. In addition, we have incorporated a question in our point-in-time count to determine the length of time an individual or family is homeless. Our CoC began implementation of our regional Coordinated Assessment system with the first phase starting in the City of Phoenix for individuals and families. A pre-assessment is done and then referrals are made to the most appropriate housing intervention based on the score of the pre-assessment. This will reduce the amount of time people are homeless by quickly placing them in the housing intervention that will end their homelessness as quickly as possible.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

It is policy that the Dept. of Economic Security (DES) shall not transition a young adult to a state of homelessness. The Director of DES co-chairs the State Commission on Housing and Homelessness which ensures coordination of this population. Youth are supported to exit care and avail themselves of aftercare services which may include funding for room & board costs. A case plan meeting when discharge is imminent, shall be held and the Department and shall ensure an appropriate discharge plan which includes: the plan to meet the identified needs gathered from the comments, recommendations, and requests of the youth, caregiver & service team members and specific plans for obtaining services. The Department shall explore suitable resources and ensure the child and caregiver are provided sufficient information to enable them to contact the service provider and initiate services identified in the discharge plan. HMIS ID's any clients that may have come from foster care. This protocol is reviewed annually. The CoC participates in the State Commission on Homelessness and Housing meetings.

In AZ, primary health care is provided by the AZ Dept of Health Services, the public and behavioral health state agency that is represented on the AZ Commission on Homelessness and Housing. In 2011, the AZ Dept of Health Services pursued and obtained a grant to develop an at-risk managed care program for the complete physical and behavioral health care needs via health homes for adults with SMI. The goal of health care plan is to establish healthy homes focusing on maintaining success of transformative initiatives through our Integrated Health Home Project (IHH). The IHH seeks to facilitate the integrated delivery of physical health care for clients. This model seeks to address barriers clients have and move client to recovery with a holistic approach. The CoC participates on the AZ Commission on Homelessness and Housing meetings.

Sections 3/17.7 and 3.8 AZ Dept of Health Services Provider Manual covers transitions & re-engagement activities of persons being discharged from inpatient settings. Key components of the discharge plan, developed upon admission, include the review of medical necessity criteria for inpatient admissions, the requirements for completing hospital discharge plans & the review and/or modification of the recipient's Individual Service Plan. Housing is critical the recipient's recovery. In order to get this accomplished, the recipient and Case Manager (CM) will complete a housing assessment, & ID their rehabilitation needs. The CM will schedule a meeting w/ all interested & applicable parties, including the recipient, hospital staff, Housing ACT Team, Clinical Team, probation/parole officer & any other person recipient would like to invite (i.e. family to discuss housing-related needs and re-engagements activities, so that a successful discharge can occur. The CoC participates on the State Commission on Homelessness and Housing meetings.

The CoC follows state policies. Before release, focus is given to preparations that enhance successful re-entry. The AZ Dept of Corrections (ADC), collaborating with state and local partners, provides re-entry classes, prerelease assistance with housing and referrals for community services to all inmates. Pre-release assistance to qualify for community health services is given to specialty populations. ADC works with the Social Security Admin. to re-qualify prior to release inmates who were on SSDI benefits before incarceration.

For released inmates, the focus is on stable housing and access to case management, supervision and services, provided by ADC's Community Corrections staff. An inmate submits at least three release housing possibilities, such as private residences or halfway houses. ADC opened a Community Corrections Center in Tucson that offers re-entry and sanctions services and will house offenders unable to secure other viable housing. The CoC participates on the State Commission on Homelessness and Housing meetings and has asked the ADC to present this policy to the CoC Committee.

New Life Center

The table below demonstrates accomplishments New Life Center carried out with ESG funding from the Maricopa County Human Services Department.

3. RESIDENTIAL (Emergency or Transitional Shelter)					
Residential	Monthly	Annual	Non-Residential Services	Monthly	Annual
Number of Adults Served	42	215	Number of Adults Served	0	0
Number of Children Served	75	228	Number of Children Served	0	0
Total	117	443	Total	0	0
4. SUBPOPULATION			5. SHELTER TYPE (number of persons housed)		
Chronically Homeless (Emergency Shelter only)	9	34	Barracks	0	0
Severely Mentally Ill	0	15	Group/Large House	117	443
Chronic Substance Abuse	5	27	Scattered Site Apartments	0	0
Other Disability	2	41	Single Family Detached House	0	0
Veterans	1	4	Single Room Occupancy	0	0
Persons with HIV/AIDS	0	0	Mibile Home/Trailer	0	0
Victims of Domestic Violence	38	201	Hotel/Motel	0	0
Elderly	0	8	Other	0	0
Total	55	330	Total	117	443
6. RACE					
	Monthly # Non-Hispanic New Clients	Annual	Monthly # Hispanic New Clients	Annual	
White	19	96	52	163	
Black or African American	27	100	2	6	
Asian	1	6	0	0	
American Indian/Alaskan Native	9	43	0	4	
Native Hawaiian/Other Pacific Islander	2	2	0	0	
American Indian/Alaskan Native & White	0	1	0	2	
Asian & White	0	2	0	0	
Black/African American & White	3	13	0	1	
American Indian / Alaskan Native & Black African American	0	2	0	0	
	0	0	0	0	

Other Multi-Racial	2	2	0	0		
Total (# matches residential and non-residential)	63	267	54	176		
7. HOUSEHOLD - SINGLE						
	Male Monthly	Male Anually	Female Monthly	Female Annually	Monthly	Annually
Unaccompanied 18 and over	0	0	7	100		
Unaccompanied under 18	0	0	0	0		
8. HEAD OF HOUSEHOLD - WITH CHILDREN						
Single 18 and over	0	0	35	115		
Single under 18	0	0	0	0		
Two Parents 18 and over					0	0
Two Parents under 18					0	0
9. HOUSEHOLD - NO CHILDREN						
Households with no children					7	100
10. SHELTER NIGHTS						
	Monthly	Annually				
Number of Shelter Nights Provided	2980	11495				
	Monthly	Annually				
NUMBER OF ADULTS AGE 18-24:	18	45				
NUMBER OF ADULTS OVER AGE 24:	24	170				

CR-30 - Public Housing 91.220(h); 91.320(j)

Actions taken to address the needs of public housing

There is an on-going need for affordable housing in Maricopa County. In the county alone 14.9% of the population is living at or below the poverty level. At the state level, 16.2% is at or below the poverty level. Specific to the Housing Authority of Maricopa County, there remains a great demand for affordable housing. The HCV Waiting List has been closed since March 15, 2011. When the waiting list nears exhaustion, it will be reopened. HAMC's current wait list for public housing indicate the highest demand for one and two bedrooms, with the second highest for three bedrooms. HAMC is evaluating the feasibility of retrofitting 4 and 5 bedrooms to match demand on the wait list.

The Housing Authority of Maricopa County, which prepares an Annual Agency Plan, serves the Maricopa Urban County and its sub-recipients. The Annual Agency Plan is incorporated by reference herein and in the Annual Action Plan. The numbers of units of public housing and Housing Choice Vouchers for the agency are shown below. Although there is some turnover during the year and new people assisted as units become available, all of the units below represent existing increments of assisted housing and therefore were not counted as new units of assistance planned for FY 13/14. Housing development projects were not anticipated for FY 13/14. The Housing Authority of Maricopa County continued improvements to their units consistent with their Five Year Action Plans.

Housing Authority Location	Program Type	Low Rent Units	Activity Status	# of Housing Choice Vouchers
Maricopa County	Combined	824	Active	1,562

Actions taken to encourage public housing residents to become more involved in management and participate in homeownership

The Housing Authority of Maricopa County is dedicated to encouraging resident to become more involved in management and to participate in homeownership and offer several programs in support of this initiative.

The Housing Authority of Maricopa County offers the Family Self Sufficiency program. This is a voluntary initiative that helps families gain skills to achieve financial independence. The Family Self-Sufficiency program provides individual Case Management services through referrals to local support partners. FSS participants receive guidance on education, job fairs, personal development workshops, money management, wealth building and Homeownership.

Once enrolled, FSS Program Staff discusses expectations with the Head of Household and develop an Individual Training and Service Plan (ITSP). The ITSP focuses on the family's Self-Sufficiency goals over a five-year period. A formal contract is also signed between the Head-of-Household and HAMC. The

contract further supports achieving all of the FSS goals.

FSS participant families are encouraged to seek and retain employment, increase work wages and a sustained value for saving money.

Participants also have an FSS Escrow Account that allows a portion of the wage-based monthly rent they pay, to be deposited into the FSS Escrow Account. Statements are sent to participants with the escrow balance on an annual basis. In accordance with HUD requirements, the account is made available to the participant once the contract is successfully completed.

HAMC has approximately 75 to 80 clients at any given time participating in its Section 8 and Public Housing FSS programs.

Many FSS Program graduates have accumulated anywhere from \$4,000-\$18,000 in their accounts and have moved from subsidized housing, obtained solid careers, completed their College education and purchased homes.

Actions taken to provide assistance to troubled PHAs

The Housing Authority of Maricopa County is in good standing and does not require assistance as a troubled agency.

CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)

Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)

Affordable housing is a challenge faced nationwide. It is important to foster and maintain affordable housing to keep the housing market balanced and fair. The Maricopa Urban County and participants are committed to maintaining housing opportunities and to eliminating barriers to affordable housing.

Maricopa Urban County continued to use CDBG, HOME, and ESG grant funds to implement various programs that will assist in maintaining affordable housing including creating homeownership opportunities, developing new affordable units, rehabilitating existing housing stock, and reducing lead-paint hazards. Funds were utilized to provide housing, neighborhood revitalization, infrastructure improvements, and shelter with the goal of increasing homeowner investment, fostering affordable housing opportunities, and preventing homelessness. These programs also assist in eliminating barriers to affordable housing by providing economic opportunities and minimizing overall household expenses.

Barriers to affordable housing that have been identified in the 2010-2014 Consolidated Plan includes:

- ✓ Impact fees.
- ✓ Lack of developable land.
- ✓ High down-payment and closing costs required for homebuyers.
- ✓ Lack of funding.

The Urban County and its participants have contributed to ameliorating these barriers by:

- ✓ Providing a reduction in plan review fees.
- ✓ Utilizing Community Land Trusts to assist with the acquisition and rehabilitation of homes.
- ✓ Providing down-payment assistance for first-time homebuyers.
- ✓ Utilizing general funds to leverage resources.

Other dominant factors contributing to affordable housing barriers include:

- ✓ Growth trends.
- ✓ Rising cost burden.
- ✓ Low household income.
- ✓ Older substandard housing stock.
- ✓ Not preserving existing affordable units due to lack of maintenance or necessary rehabilitation.

To assist in reducing barriers to affordable housing, Maricopa Urban County and its participants implemented various programs targeted towards low-and moderate-income households. The Urban County and sub-recipients created homeownership opportunities, provided housing counseling and

education, brought homes up to code through rehabilitation efforts, and reduced lead-paint hazards. Maricopa Urban County will also be updating its Analysis of Impediments to Fair Housing Choice in the near future.

Maricopa Urban County allocated \$210,000 to rehabilitate homes occupied by low and moderate income persons. The Urban County's programs are designed to make living in Maricopa County viable and affordable for low-income residents, including minority households.

In addition to the activities undertaken by the Urban County, the Housing Authority of Maricopa County's activities are specifically intended to address barriers to affordable housing by providing economic subsidies to those most in need of affordable housing. Towards this end, the Housing Authority of Maricopa County continued to reduce the barriers to affordable housing by providing Section 8 vouchers and assistance programs aimed at transitioning residents from public housing into the private housing market.

Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)

In the current economic climate, addressing underserved housing needs is increasingly difficult. To make housing affordable to very low income households deep subsidies are needed. At this point, appropriations for housing programs are not at levels to widely support deep subsidies. The Maricopa Urban County continued to wisely invest its Federal funds in viable projects and combined federal sources with other resources so that it could continue to provide Maricopa County residents with affordable housing options.

Actions taken to address obstacles to underserved needs include but are not limited to the following:

- ✓ Conflicting objectives exist at the federal level, e.g., a goal to end chronic homelessness in ten years is juxtaposed against cuts in Housing Choice Voucher rental assistance and an increased movement of housing resources to homeownership. Maricopa Urban County continued to work with the Continuum of Care Committee on Homelessness to address this issue.
- ✓ New resources come with increased administrative burden, reduced timelines and little administrative funding. Maricopa Urban County continued to work on innovative ways to implement these programs within existing resources.

Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)

Private market rental units that have Housing Choice Voucher programs were inspected for cracked and peeling paint and abated prior to occupancy if they met the thresholds for lead paint inspection. The thresholds are:

- ✓ Children under six years in the new tenant family and
- ✓ The rental unit having been built before 1978

All homes built prior to 1978 and purchased with CDBG or HOME funds were tested for lead content in paint and abated prior to occupancy. The Maricopa Urban County works with a certified lead-based paint inspector to identify lead-based paint hazards when necessary. This inspector is qualified to conduct lead-based paint identification assessment, and clearance service to reduce lead hazards. Prospective buyers are provided the EPA brochure regarding lead hazards. Client files are monitored annually for documentation that this information was provided.

Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)

Maricopa Urban County recognizes the interrelationship between housing and economic opportunities and the need to pursue both in order to assist households attain self-sufficiency. The Urban County recognizes while it has defined priority strategy areas, that they are not separate or isolated strategies. Members believe these strategies are inter-related and impact, reinforce and contribute to each other to achieve the common goal of viable and vibrant communities. The health of a community, its special needs populations and all residents cannot be artificially separated as they are an integral part of the whole. The betterment and improvement of any part inevitably contributes to the betterment of the other segments of the community. Maricopa Urban County believes the implementation of housing activities outlined in the Consolidated Plan will help reduce the number of households in poverty. All of the programs outlined in the Consolidated Plan are intended to provide benefits to residents that are considered low income and/or fall below the federal poverty line.

The Maricopa Urban County is committed to eliminating the effects of poverty among its residents and recognizes that it may not be possible to end poverty all together. However, the Urban County and all sub-recipients are dedicated none the less to better understanding its causes and finding a way to reverse the cycle of privation.

The Maricopa County Human Services Department objectives are to develop viable communities that provide decent, safe and sanitary housing, a suitable living environment, and expanded economic opportunities primarily for persons of low and moderate income. The CDBG program makes a difference in the lives of thousands of people and communities across the County by providing resources to address unique community development needs. The HOME program helps increase the supply of affordable housing for low to moderate-income households. Maricopa County offers distinct housing programs that provide a wide range of housing related services to eligible homeowners and homebuyers in the County. These programs help to reduce housing costs for low-income families and assist in reducing the overall poverty level of the community. Programs include: energy efficient services, home improvement assistance, homebuyer assistance, and veterans home improvement services.

Maricopa Urban County also provides funds to New Life Center, a faith-based non-profit that offers an emergency shelter facility and essential services for homelessness prevention to assist in reducing the number of households living below the poverty level.

Actions taken to develop institutional structure and enhance coordination. 91.220(k); 91.320(j)

The Maricopa County Human Services Department serves as the lead entity in carrying out Urban County Consolidated Plan objectives and in making sure CDBG, HOME, and ESG funds are carried out in accordance with federal regulations.

The Maricopa County Human Services Department coordinated with various public, private, and non-profit agencies in carrying out its activities. Collaboration between local, county, and state agencies is important in successfully carrying out the goals and objectives identified in the Consolidated Plan and addressing community needs. It is essential that Maricopa Urban County foster and maintain partnerships with other public and private agencies for the successful delivery of its housing and community development programs.

Private entities can effectively support the delivery of programs and services by offering additional resources that can be leveraged to supplement existing services or fill in gaps. Maricopa Urban County continued to seek additional funding sources for housing and community development activities when possible.

Other actions taken to strengthen institutional structure, overcome gaps, and enhance coordination in include:

✓ **Public Planning Process**

Continue to increase advocacy at the federal level for preservation of the funding partnerships that are critical to the needs of low-income and homeless persons.

✓ **Regional Coordination**

Continue exploration of regional solutions to housing and homeless needs particularly as each of these issues is impacted by the crisis in the subprime mortgage market.

✓ **Maricopa Association of Government (MAG)**

Continue to work with MAG on the development and implementation of an update to the strategic plan to end homelessness

✓ **Federal Resources Coordination**

Continue to work with partners to implement stimulus grants as appropriate.

✓ **Intergovernmental Coordination**

As of July 1, 2009 Maricopa County Community Development became part of the Human Services Department. The divisions of Head Start, Community Service and Work Force Development will work closely with the Community Development Division which are all within

the Human Service Department. These divisions combined efforts resulted in a continuum of care by assisting in homeless prevention that include rent and utility assistance. Homeless prevention activities help individuals find employment and/or obtaining training, to stable housing and homeownership, to long term financial stability.

Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)

The Maricopa Urban County is addressing impediments as described in the most recent approved Analysis of Impediments to Fair Housing Choice ("AI") FY 2011-2015 (dated 7/15/11). Maricopa County through activities that are funded by HOME, CDBG and ESG and other federal sources, affirmatively further fair housing in a manner that reaches across cultures in the ethnically diverse County.

Maricopa County as the Lead Agency collected information relative to the impediments and housing beneficiaries by protected class for all housing activities funded by CDBG or HOME and actions that were taken by the members to eliminate or mitigate barriers to fair housing choice.

The Maricopa County Human Services Department is updating its Analysis of Impediments to Fair Housing Choice in the near future.

The table below demonstrates actions taken to affirmatively further fair housing and the Maricopa Urban County sub-recipients who participated in these actions for FY 13/14.

Fair Housing Participation

Action to Further Fair Housing or Mitigate Fair Housing Choice	Participants
Adopt a Resolution	Buckeye, Guadalupe, Goodyear, Gila Bend
Declared April as Fair Housing Month	Buckeye, Goodyear, Gila Bend
Secured Fair Housing Training	Goodyear, Phoenix NHS
Sponsored Fair Housing Training	
Published Public Notice about Fair Housing Rights	Guadalupe, Gila Bend
Maintained a Fair Housing Webpage	Buckeye, Goodyear
Maintained a Direct Link to HUD Fair Housing Site	Buckeye, Goodyear, Gila Bend
Participated as a Member of the AZ Fair Housing Partnership	Goodyear

Participated in Events Sponsored by the AZ Fair Housing Partnership	
Maintained a Call Log for Fair Housing Complaints	Guadalupe
Made Fair Housing Referrals to AZAG and the AZ Fair Housing Center	Guadalupe
Engaged the Professional Community in Fair Housing Discussions	Goodyear
Reviewed Local Ordinances for Compliance	Goodyear, Gila Bend
Displayed Fair Housing Posters	Buckeye, Guadalupe, Goodyear, Gila Bend, Phoenix NHS
Purchased and Distributed Fair Housing Materials	Goodyear
Put Fair Housing Logo on Business Cards and Brochures	Buckeye, Guadalupe, Goodyear
Monitor Sub-Recipients for Fair Housing Compliance	Maricopa Urban County

CR-40 - Monitoring 91.220 and 91.230

Description of the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements

Monitoring is a continuous process of review to ensure adequate performance and compliance with all applicable statutes, regulations and policies. As the lead agency, the Maricopa County Human Services Department is responsible for monitoring the planning, implementation, communication and follow up of sub-recipient activities. The typical phases of an activity include the initial allocation of funding, the written agreement, the monthly progress reports, the requests for reimbursement of expenditure and the closing reports.

No CDBG or HOME funds were disbursed for ineligible activities during the program year. Staff reviewed invoices for reimbursement of costs incurred against the grant.

The Maricopa County Human Services Department conducts a risk assessment analysis of all grants funded projects and sub-recipient contracts. The risk assessment considers size of the grant contract, changes in organizational structure and how long it has been since the last on-site monitoring. Based on the risk assessment, there were two possible options: a) Desk review b) On-site monitoring. On-site monitoring follows a formal monitoring tool. The monitoring includes a review of progress on performance of contracted activities, financial controls and compliance with federal regulations and required local policies, including but not limited to outreach to potential clients. These policies are included in the Strategic Plan: Consortium Policies REV2006, and are herein incorporated by reference. Monitoring may result in findings, concerns or suggestions for improvement. The monitored agency is given an opportunity to correct any findings. The need for follow-up review is considered in the risk assessment for the next year and corrections to prior year findings will be specifically included in the subsequent monitoring.

Maricopa County Human Services Department

FY 13/14 Projects to be Monitored

URBAN COUNTY PARTNER/CONTACT	RISK ASSESSMENT	ON -SITE VISIT	DESK REVIEW
Town of Buckeye	New staff	ON SITE Monitor when project	
Andrea Marquez	All projects complete by June		

623.379.6220	2013: No monitoring	complete	
	HIGH RISK		
City of El Mirage	NO ACTIVITY 2013: On site		
Pat Gregan			
Jorge Gastelum			
Town of Gila Bend	Project manager left	ON SITE Monitor when project complete	
Beverly Turner	All projects complete by August		
	2013: On site		
	HIGH RISK		
City of Goodyear	No staff changes, 2013: desk		Monitor when
Katie Wilken	No expenditures. Project		project complete
	complete by early fall		
	LOW RISK, Delay to proj. compl.		
Town of Guadalupe	Project manager + Town	ON SITE	
Nancy Holguin	Manager have left		
	2013: On site		
	HIGH RISK		
Town of Queen Creek	NO ACTIVITY		
Tracy Corman			
City of Tolleson	NO ACTIVITY 2013: On site		
John Paul Lopez			

Reyes Medrano			
Town of Wickenburg	2013- New PW director. No		Monitor when
Rick Austin	staff have left. Chipseal compl. In		project complete
Dawn Bender	Aug 2013: On site		
	LOW RISK, Delay to proj. compl.		
Town of Youngtown	NO ACTIVITY 2013: On site		
Jeanne Blackman			
Circle the City	New subrecipient 2013: none	ON SITE (public service activity, per diem rate)	
Brandon Clark	Project complete by June		
	New funding starts 7/1		
	HIGH RISK		
NHS of Phoenix	New subrecipient 2013: none	ON SITE (public service activity)	
	HIGH RISK		
Respite Shelter	New subrecipient 2013: none	ON SITE? (No expenditures. Pre-activity visit)	
John Irwin	No funds expended.		
	New funding starts 7/1		
	HIGH RISK		

Wittmann Fire District	New subrecipient 2013: none	ON SITE Monitor when project complete	
Eddie Rios	Constr. compl. by Sept.?		
	Delay to project completion.		
	HIGH RISK		

Citizen Participation Plan 91.105(d); 91.115(d)

Public Notice and Public Comment Period

The fourth year CAPER program year covered July 1, 2013 to June 30, 2014. Pursuant to HUD guidelines, this CAPER allowed for reasonable notice for review and comment, as well as a fifteen (15) day comment period prior to submission. Public notice of comment period was published on September 8, to September 22, 2014. The public was provided proper notice and was given the opportunity to comment on this CAPER for a comment period of 15 days. The Maricopa County Human Service Department, Community Development Division did not receive any public comments during the public comment period moreover as of the date that this CAPER will be submitted to HUD on September 30, 2014.

The CAPER, including the IDIS reports as required by HUD List of Activities Report, Grantee Performance Report (GPR), Summary of Consolidated Plan Projects, Summary of Community Development Accomplishments Report, Financial Summary Report, and this Narrative Report, were available for citizen review during the 15-day public review period.

The public notice included the address of the Maricopa County Human Service Department office, staff contacts, mailing addresses, phone numbers, the website to view the report and information on where to direct comments and questions.

The following reports from HUD's Integrated Disbursement and Information System (IDIS) are accompanied in this Maricopa HOME Consortium CAPER.

- ✓ Summary of Activities (PR03)
- ✓ Consolidated Annual Performance and Evaluation Report (PR06)
- ✓ Rehabilitation Activities (PR10)
- ✓ Status of HOME Activities (PR22 pt 2 - Entitlement)
- ✓ Summary of Accomplishments Report (PR23 Parts 1-7)
- ✓ Status of CHDO Funds (PR25)

- ✓ Financial Summary Report (PR26)
- ✓ Status of HOME Grants (PR27)
- ✓ HOME Match Report (PR33)
- ✓ CDBG Expenditures By Organization Type For Program Year (PR77)
- ✓ CDBG Summary Of Expenditures By Type Of Organization (PR78)
- ✓ CDBG Housing Rehabilitation Report For Program Year (PR79)
- ✓ CDBG Performance Measures Report (PR83)
- ✓ HOME Housing Performance Report (PR85)

The CAPER also references a number of Maricopa HOME Consortium documents that are available at 234 North Central, 3rd Floor Phoenix, AZ 85004 or by calling (602) 506-5911 TDD (602) 506-4802.

- ✓ FY2010-2014 Five Year Consolidated Plan
- ✓ Analysis of Impediments to Fair Housing Choice for Urban Maricopa County and each of the member jurisdictions
- ✓ FY2013-2014 Annual Action Plan
- ✓ Projects Tables in Excel format
- ✓ Adopted policies and procedures
- ✓ HOME Annual Report (Form HUD 40107)
- ✓ HOME Match Report (Form HUD 40107-A)

Description of the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.

The CAPER reports on the performance of the Fourth Year Annual Action Plan. The Maricopa County Human Services Department, Community Development Division (HSD), public notices were published in the East Valley Tribune, West Valley View and Daily News-Sun to announce the availability of the FY 2013-2014 Maricopa HOME Consortium Consolidated Annual Performance and Evaluation Report (CAPER). In addition, the draft CAPER document was made available on the County's website and a copy was available at Maricopa County Human Services Department, Community Development Division office during the 15 day comment period www.myhsd.maricop.gov. The public notice included information on where to direct comments and questions. The address of HSD, staff contact, mailing address, phone number, was also provided in the publication.

CR-45 - CDBG 91.520(c)

Specify the nature of, and reasons for, any changes in the jurisdiction's program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.

Maricopa County Human Services Department does not foresee any changes in program objectives for the fifth and final year of its 2010-2014 Consolidated Plan. Housing and community revitalization activities remain as high priority needs and the use of CDBG funds is essential to address these needs.

Accomplishments in addressing the objectives identified, greatly relies on the amount of funding available from the federal government. Maricopa Urban County previously received additional funding from HUD through the Neighborhood Stabilization Program and the American Recovery and Reinvestment Act (CDBG-R). These funds have since been expended and are no longer resources. MCHSD continued efforts to meet Consolidated Plan objectives utilizing available funding and if at any point in the future determines there is a need to revise objectives identified, MCHSD will provide proper documentation to support the need for a change and will follow proper amendment procedures.

Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?

No.

[BEDI grantees] Describe accomplishments and program outcomes during the last year.

Not applicable.

CR-50 - HOME 91.520(d)

Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations

The Maricopa Human Services Department, nor its sub-recipients, directly manage assisted rental units. There are no on-site inspections to report. The Housing Authority of Maricopa County provides its own inspection reports, of its assisted rental units, directly to HUD.

Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 92.351(b)

As the lead agency for Urban County participants and the Maricopa County HOME Consortium, MCHSD monitors sub-recipients for compliance with affirmative marketing requirements by reviewing selection policies, marketing materials and property management interviews. There were nine multifamily properties that were monitored in the HOME Consortium service area this fiscal year and all were reviewed for compliance regarding affirmatively marketing. Individual compliance records are available in the monitoring files for the nine multifamily apartments. No Urban County sub-recipients report properties requiring monitoring or affirmative marketing actions, as most do not receive HOME funds through MCHSD.

Loans and Other Receivables

	Number of Loans	Principal Balance	Terms of Forgiveness
Loans Outstanding Which Require Repayment of Principal			
Guadalupe	12	\$826,941	30 Year Term
Deferred Loans Which May be Forgiven in Whole			
Guadalupe	75	\$1,884,626	Release after affordability period

CR-60 - ESG 91.520(g) (ESG Recipients only)

The Urban County is actively involved in the MAG Continuum of Care Committee and the homeless planning process and also received its own Entitlement allocation of ESG funds to support the goals of the regional plan. The goals identified in the regional plan represent only a small percentage of funds being dedicated to homelessness and homeless prevention from a variety of resources that include McKinney-Vento and general revenues. Agencies in Maricopa County have been involved in HMIS reporting for at least four years.

Realizing that the Urban County share of Maricopa County population is 9.6%, as described in the Consolidated Plan the current inventory of existing facilities in Maricopa County is 2,579 emergency shelter beds, 3,012 transitional beds, and 4,717 permanent supportive housing beds.

Coordination of activities throughout the Continuum of Care helps to ensure a smooth transition from emergency to transitional housing and then eventually to permanent housing. During this reporting period, transitional housing helped provide 3,012 year round beds to an array of subpopulations within the Urban County.

As a direct recipient of ESG, Maricopa Urban County is involved in cooperative measures to address emergency shelter and transitional housing needs of homeless individuals and families. Maricopa County received \$164,046 in ESG funds and expended \$98,428. ESG is administered by the Maricopa County Human Services Department Community Development Division.

Maricopa Urban County funds New Life Center, a faith-based non-profit organization, to carry out its ESG activities. New Life Center operates an emergency shelter for victims of domestic violence and their children. In addition to shelter, bedding, clothing and other basic provisions, New Life Center provides advocacy, connections with community resources, and a comprehensive Children's Program. New Life is one of the State's leading providers of emergency shelter and related services for victims of domestic violence and their families. The goal of services offered by New Life is to empower families to choose independent, violence free lives.

The tables below demonstrate accomplishments made for the FY13/14 reporting period.

CR-65 – ESG Persons Assisted

3. Persons Served

3a. Complete for Homelessness Prevention Activities /Rapid Re-housing Activities/Shelter Activities

Number of Persons in Households	Total
Adults	215

Children	228
Total	443

Table 10 – Household Information for Homeless Prevention Activities

3b. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	215
Children	228
Total	443

Table 11 – Household Information for Persons Served with ESG

4. Gender—Complete for All Activities

	Total
Male	343
Female	100
Transgender	
Don't Know/Refused/Other	
Missing Information	
Total	443

Table 12 – Gender Information

5. Age—Complete for All Activities

	Total
Under 18	
18-24	45
25 and over	170
Don't Know/Refused/Other	

Missing Information	
Total	215

Table 13 – Age Information

6. Special Populations Served—Complete for All Activities

Number of Persons in Households

Subpopulation	Total Persons Served – Prevention/Rapid Re-housing/Shelter
Chronically Homeless	34
Severely Mentally Ill	15
Chronic Substance Abuse	27
Other Disability	41
Veterans	4
Persons with HIV/AIDS	0
Victims of Domestic Violence	201
Elderly	8
Total	330

Table 14 – Special Population Served

CR-70 - ESG 91.520(g) - Assistance Provided and Outcomes

7. Shelter Utilization

Number of New Units – Rehabbed	
Number of New Units – Conversion	
Total Number of bed - nights available	
Total Number of bed - nights provided	11,495
Capacity Utilization	

Table 15 – Shelter Capacity

CR-75 – ESG Expenditures

8. Expenditures

8a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	FY 2011	FY 2012	FY 2013
Expenditures for Rental Assistance			
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance			
Expenditures for Housing Relocation & Stabilization Services - Services			
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	\$94,343	\$81,000	\$98,428
Subtotal Homelessness Prevention	\$94,343	\$81,000	\$98,428

Table 16 - ESG Expenditures for Homelessness Prevention

8b. Total ESG Grant Funds

Total ESG Funds Expended	FY 2011	FY 2012	FY 2013
\$273,771	\$94,343	\$81,000	\$98,428

Table 17 - Total ESG Funds Expended

BUCKEYE

Senior Centers	
Priority Level (L,M,H)	M
Non-CDBG Expenditures	\$409,820 +\$36,325.00 (Utilities= \$446,145
Description of Project	Expenditures fro Area Agency on Aging Salaries, Benefits , Space (074-050-1605) 100% Utilities for Senior Center
Youth Centers	
Priority Level (L,M,H)	H
Non-CDBG Expenditures	\$176,135.59+\$35,315.17 (utilities)=\$211,450.76
Description of Project	20% of Rec Expenses Inc. Salaries (\$880,677.97)+100% of Utilities for the Rec Center.
Child Care Centers	
Priority Level (L,M,H)	L
Non-CDBG Expenditures	\$44,033.89
Description of Project	5% of Rec Expenses Incl. Salaries (\$880,677.97)
Senior Services	

Priority Level (L,M,H)	H
Non-CDBG Expenditures	\$87,600: Contract Services 074-050--1116: Program Supplies 074-050-1625 and Travel 074-050-1845
Description of Project	Contract Services, Program Supplies and Travel and Training
Transportation Service	
Priority Level (L,M,H)	H
Non-CDBG Expenditures	\$30,808: Program Transportation 074-050-1630 & LTAF/ALF: 074-0501854
Description of Project	Senior Program Transportation Services
Economic Development	
Priority Level (L,M,H)	H
Non-CDBG Expenditures	\$62,935; \$45,000; \$240,000
Description of Project	Downtown Revitalization & Improvement Program; Downtown Catalyst Program; General Fund

GILA BEND

Water/Sewer	
Priority Level (L,M,H)	High
Non-CDBG Expenditures	
Description of Project	Installation of 2600' of waterline & 4 Fire Hydrants

GOODYEAR

Homeless Facilities	
Priority Level (L,M,H)	L
Non-CDBG Expenditures	1500
Description of Project	Contribution to the Homeless Youth Connection program
Health Services	
Priority Level (L,M,H)	M
Non-CDBG Expenditures	24,000
Description of Project	Contributions to CASS for operating expenses (\$10K); All Faith Community Services that provides food, clothing and necessities (\$9K); St. Mary's Foodbank Alliance (\$5K)

GUADALUPE

Senior Centers	
Priority Level (L,M,H)	H
Non-CDBG Expenditures	\$148,184.00
Description of Project	meals, operations, home deliveries
Youth Centers	
Priority Level (L,M,H)	H
Non-CDBG Expenditures	\$42,145.00
Description of Project	work training
Transportation Service	
Priority Level (L,M,H)	H
Non-CDBG Expenditures	\$25,011.00
Description of Project	transit & transportation
Health Services	
Priority Level (L,M,H)	H
Non-CDBG Expenditures	\$20,000.00
Description of Project	health services

**PHOENIX -NEIGHBORHOOD
HOUSING SERVICES**

Homebuyer Assistance

Priority Level (L,M,H)

H

WICKENBURG

Water/Sewer

Priority Level (L,M,H) H

Non-CDBG Expenditures \$31,490.00

Description of Project Barnette Waterline

Solid Waste Disposal

Priority Level (L,M,H) M

Non-CDBG Expenditures \$1,400.00

Description of Project Bulk Trash Collection - Free disposal of bulk trash

Senior Services

Priority Level (L,M,H) M

Non-CDBG Expenditures \$500.00

Description of Project Make a Difference Day - Minor home and landscape repairs

Handicapped Services

Priority Level (L,M,H) M

Non-CDBG Expenditures \$500.00

Description of Project Make a Difference Day - minor home and landscape repairs

Crime Awareness

Priority Level (L,M,H)	L
Non-CDBG Expenditures	\$200
Description of Project	National Night Out, crime prevention program



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PART I: SUMMARY OF CDBG RESOURCES

01 UNEXPENDED CDBG FUNDS AT END OF PREVIOUS PROGRAM YEAR	2,905,842.45
02 ENTITLEMENT GRANT	2,589,851.00
03 SURPLUS URBAN RENEWAL	0.00
04 SECTION 108 GUARANTEED LOAN FUNDS	0.00
05 CURRENT YEAR PROGRAM INCOME	0.00
05a CURRENT YEAR SECTION 108 PROGRAM INCOME (FOR SI TYPE)	0.00
06 RETURNS	0.00
07 ADJUSTMENT TO COMPUTE TOTAL AVAILABLE	0.00
08 TOTAL AVAILABLE (SUM, LINES 01-07)	5,495,693.45

PART II: SUMMARY OF CDBG EXPENDITURES

09 DISBURSEMENTS OTHER THAN SECTION 108 REPAYMENTS AND PLANNING/ADMINISTRATION	2,269,051.48
10 ADJUSTMENT TO COMPUTE TOTAL AMOUNT SUBJECT TO LOW/MOD BENEFIT	0.00
11 AMOUNT SUBJECT TO LOW/MOD BENEFIT (LINE 09 + LINE 10)	2,269,051.48
12 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	262,945.67
13 DISBURSED IN IDIS FOR SECTION 108 REPAYMENTS	0.00
14 ADJUSTMENT TO COMPUTE TOTAL EXPENDITURES	0.00
15 TOTAL EXPENDITURES (SUM, LINES 11-14)	2,531,997.15
16 UNEXPENDED BALANCE (LINE 08 - LINE 15)	2,963,696.30

PART III: LOWMOD BENEFIT THIS REPORTING PERIOD

17 EXPENDED FOR LOW/MOD HOUSING IN SPECIAL AREAS	0.00
18 EXPENDED FOR LOW/MOD MULTI-UNIT HOUSING	0.00
19 DISBURSED FOR OTHER LOW/MOD ACTIVITIES	2,269,051.48
20 ADJUSTMENT TO COMPUTE TOTAL LOW/MOD CREDIT	0.00
21 TOTAL LOW/MOD CREDIT (SUM, LINES 17-20)	2,269,051.48
22 PERCENT LOW/MOD CREDIT (LINE 21/LINE 11)	100.00%

LOW/MOD BENEFIT FOR MULTI-YEAR CERTIFICATIONS

23 PROGRAM YEARS(PY) COVERED IN CERTIFICATION	PY: 2012 PY: 2013 PY: 2014
24 CUMULATIVE NET EXPENDITURES SUBJECT TO LOW/MOD BENEFIT CALCULATION	0.00
25 CUMULATIVE EXPENDITURES BENEFITING LOW/MOD PERSONS	0.00
26 PERCENT BENEFIT TO LOW/MOD PERSONS (LINE 25/LINE 24)	0.00%

PART IV: PUBLIC SERVICE (PS) CAP CALCULATIONS

27 DISBURSED IN IDIS FOR PUBLIC SERVICES	153,874.69
28 PS UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	0.00
29 PS UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	0.00
30 ADJUSTMENT TO COMPUTE TOTAL PS OBLIGATIONS	0.00
31 TOTAL PS OBLIGATIONS (LINE 27 + LINE 28 - LINE 29 + LINE 30)	153,874.69
32 ENTITLEMENT GRANT	2,589,851.00
33 PRIOR YEAR PROGRAM INCOME	363,470.00
34 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PS CAP	0.00
35 TOTAL SUBJECT TO PS CAP (SUM, LINES 32-34)	2,953,321.00
36 PERCENT FUNDS OBLIGATED FOR PS ACTIVITIES (LINE 31/LINE 35)	5.21%

PART V: PLANNING AND ADMINISTRATION (PA) CAP

37 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	262,945.67
38 PA UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	0.00
39 PA UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	0.00
40 ADJUSTMENT TO COMPUTE TOTAL PA OBLIGATIONS	0.00
41 TOTAL PA OBLIGATIONS (LINE 37 + LINE 38 - LINE 39 +LINE 40)	262,945.67
42 ENTITLEMENT GRANT	2,589,851.00
43 CURRENT YEAR PROGRAM INCOME	0.00
44 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PA CAP	0.00
45 TOTAL SUBJECT TO PA CAP (SUM, LINES 42-44)	2,589,851.00
46 PERCENT FUNDS OBLIGATED FOR PA ACTIVITIES (LINE 41/LINE 45)	10.15%



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LINE 27 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 27

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2012	1	2995	5665360	DG1205 Circle the City Medical Respite Center	05	LMC	\$122,484.00
2012	22	3013	5612176	DG1211 NHS Phoenix- Housing Counseling	05U	LMC	\$3,463.35
2012	22	3013	5648856	DG1211 NHS Phoenix- Housing Counseling	05U	LMC	\$7,621.41
2012	22	3013	5671051	DG1211 NHS Phoenix- Housing Counseling	05U	LMC	\$6,472.06
2012	22	3013	5674300	DG1211 NHS Phoenix- Housing Counseling	05U	LMC	\$3,584.62
2012	22	3013	5678728	DG1211 NHS Phoenix- Housing Counseling	05U	LMC	\$4,223.08
2012	22	3013	5707553	DG1211 NHS Phoenix- Housing Counseling	05U	LMC	\$6,026.17
Total							\$153,874.69

LINE 37 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 37

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2013	1	3070	5622967	DG1300 2013-14 CDBG Program Administration	21A		\$76,668.93
2013	1	3070	5636180	DG1300 2013-14 CDBG Program Administration	21A		\$34,753.17
2013	1	3070	5671051	DG1300 2013-14 CDBG Program Administration	21A		\$539.72
2013	1	3070	5674265	DG1300 2013-14 CDBG Program Administration	21A		\$90,990.81
2013	1	3070	5719068	DG1300 2013-14 CDBG Program Administration	21A		\$59,993.04
Total							\$262,945.67